IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

BOCKER et al.

Serial No.

10/816,028

Filing Date

1 April 2004

For

AQUEOUS DEFOAMER FORMULATIONS

Examiner

Daniel S. Metzmaier

Art Unit

1712

745 Fifth Avenue New York, NY 10151

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO-1449. The Commissioner is hereby authorized to charge any additional fee which may be required, or credit any overpayment to Account No. 50-0320.

1.	Time	liness,	Fees and Certifications in lieu of Fees
	A.	filing stage CFR requi Assis	information disclosure statement is being filed within three months of the g date of the application, or within three months of entry into the national e, or before the mailing of a first Office Action on the merits. Pursuant to 37 § 1.97(b), consideration of this information disclosure statement does not are a fee or a statement under 37 CFR § 1.97(e). However, should the stant Commissioner determine that a fee is, in fact, due, the Assistant missioner is hereby authorized to charge the fee to Deposit Account No. 50-
×	B.	but b to 37	information disclosure statement is being filed after the period in A above, refore the mailing of either a final action or a notice of allowance. Pursuant CFR § 1.97(c), consideration of this information disclosure statement ares a fee or a statement under 37 CFR § 1.97(e):
	×	1.	The Assistant Commissioner is hereby authorized to charge the fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 50-0320.
		2.	Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
		3.	Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.
	C.	above 1.97(which forth autho	information disclosure statement is being filed after the period specified in B e, but on or before the payment of the issue fee. Pursuant to 37 CFR § d), consideration of this information disclosure statement requires a petition, h Applicants hereby request, and payment of the petition fee, which is set in 37 CFR § 1.17(i), and which the Assistant Commissioner is hereby orized to charge to Deposit Account No. 14-1263. Consideration of this mation disclosure statement also requires a statement under 37 CFR § e):
		1.	Applicants hereby state that each item of information contained in this

information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

2. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

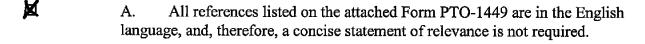
II. Copies of Listed References

Α.	No copies of	references a	re being p	provided as	s all references	cited on For	m
PTO-1	449 are either	U.S. Patents	s or U.S. I	Patent App	olication Public	ations.	

X	B. Copies of all references which are foreign patents or non-patent literatur
	listed on the attached Form PTO-1449 are being supplied.

- C. Copies of all references listed on the attached Form PTO-1449 have already been supplied during the prosecution of prior application Serial No. _____, filed ______, from which the present application claims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFR § 1.98(d), copies of the references listed on the attached Form PTO-1449 are not now being supplied.
- D. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and PCT/DO/EO/903 indicates that both the international search report and the copies of the references listed on the attached Form PTO-1449 are in this national stage file. Therefore, copies of the references listed on the attached Form PTO-1449 are not now being supplied.

III. Concise Statement of Relevance



B. A concise statement of the relevance of all references listed on the attached Form PTO-1449 that are *not in the English language*, is being provided on a separate sheet.

C. All references listed on the attached Form PTO-1449 were cited in the search report issued by the Patent Office, and an English-language version of that search report, which indicates the degree of relevance found by that Patent Office, is attached.
D. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and a copy of that search report, which indicates the degree of relevance found by the International Search Authority, is attached.
E. All listed on the attached Form PTO 1449 were cited during the prosecution of the prior application indicated above under II.B.

IV.	Δd	dition	al In	forms	tion
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In addition to the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the following abandoned or co-pending U.S. patent applications:

> [Pursuant to 37 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]

B. In addition of the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the information provided on the attached sheet.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

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Applicant: Serial No.:

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Art Unit:

10/816,028 1712

Filing Date: Examiner:

1 April 2006 Daniel S. Metzmaier

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Exr. Initial	Document Number	Date	Name		Class	Subclass	Filii Dat	
	6,420,324	7-16-2002	Ebbrecht et al.					
<u>.</u>	6,001,887	12-14- 1999	Keup et al.					
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	 .		FOREIGN I	PATENT DO	CUMENTS		<u> </u>	
	Document Number	Date	Country	•	Class	Subclass	Translati on	
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	11-148012	6-2-1999	JP (Abstract only	y) .		<u> </u>		X
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